

Using health care data to study and improve colorectal cancer screening

3rd Seattle Symposium on Health Care Data Analytics

Jessica Chubak, PhD

October 23, 2018

Disclosures and funding

- No Disclosures
- Funding (NIH):
 - R01CA121125 (SOS)
 - U24CA171524 (CRN)
 - U54CA163261, UM1CA222035 (PROSPR)

Outline

- Part 1: CRC screening as a case study
- Part 2: Practical considerations
- Part 3: Summary and recommendations

Part 1

COLORECTAL CANCER SCREENING: A CASE STUDY

Why care about colorectal cancer screening?

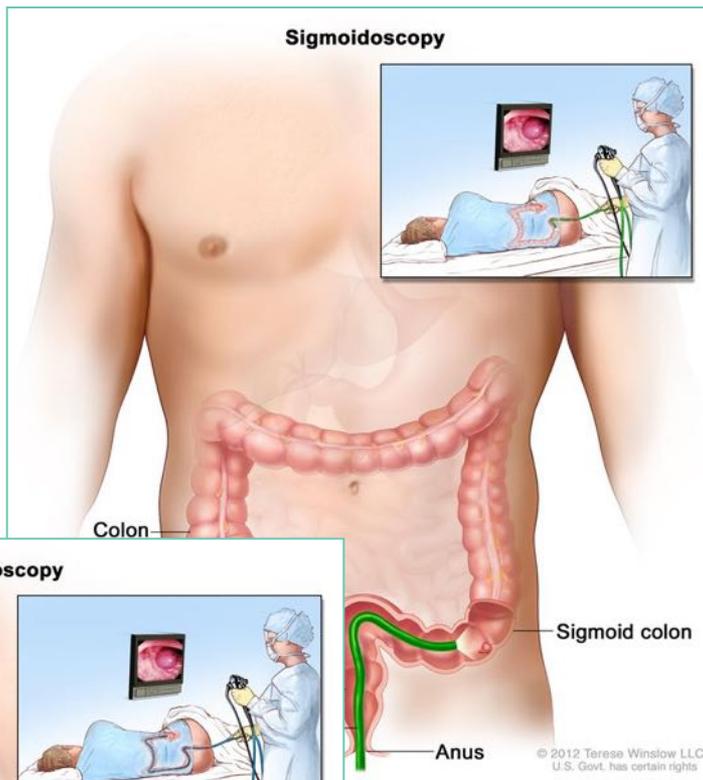
- CRC is 3rd most common cancer in US
- Screening can prevent incidence and death
- Gaps in screening persist
- Comparative effectiveness of screening regimens is largely unknown

Types of CRC screening

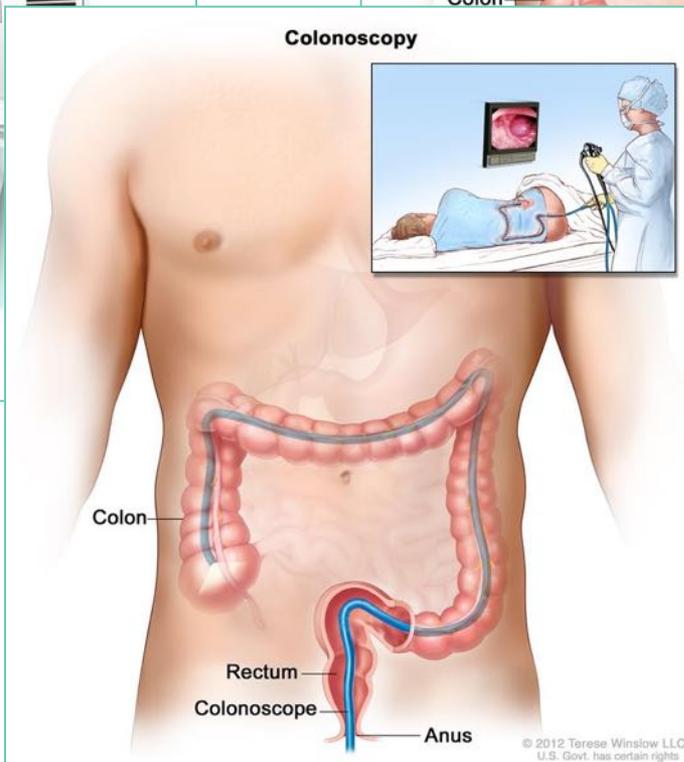
Fecal Immunochemical Test (FIT)



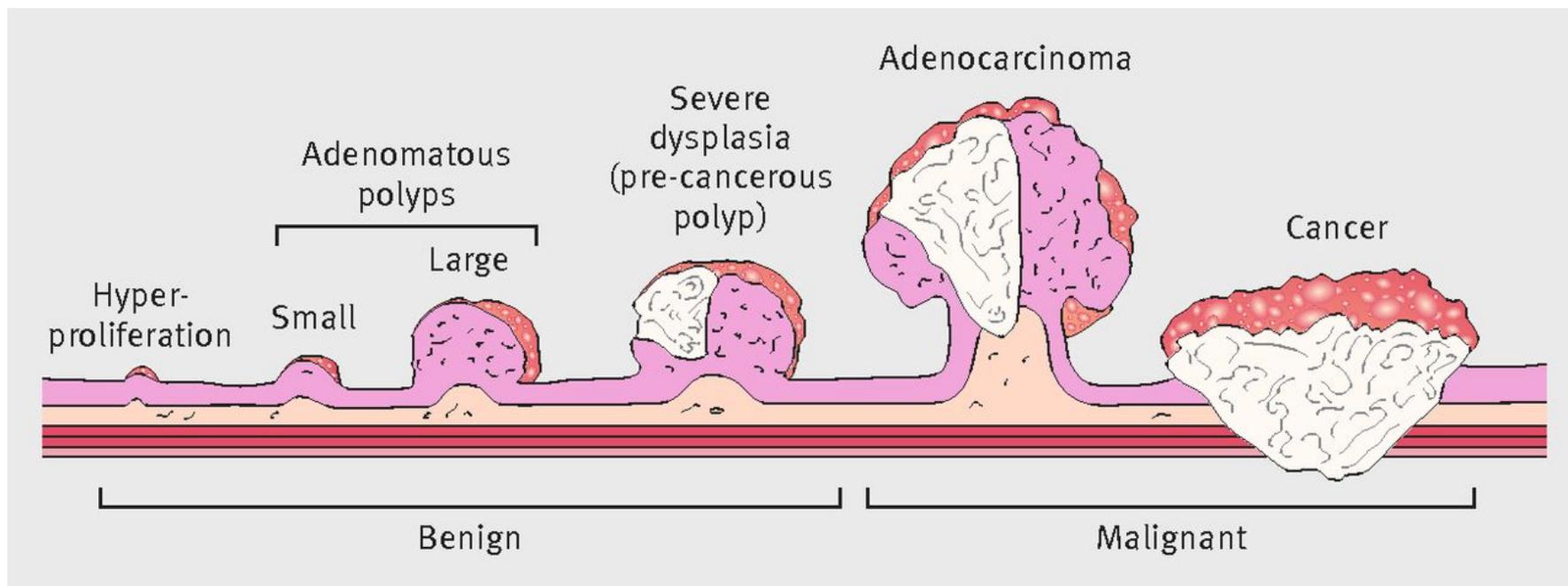
Sigmoidoscopy



Colonoscopy



Adenoma – carcinoma sequence

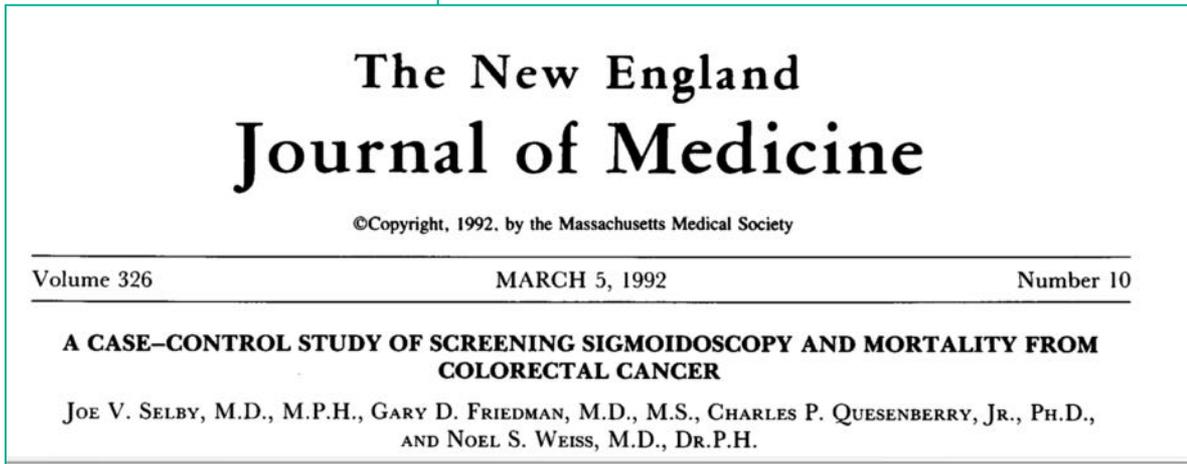
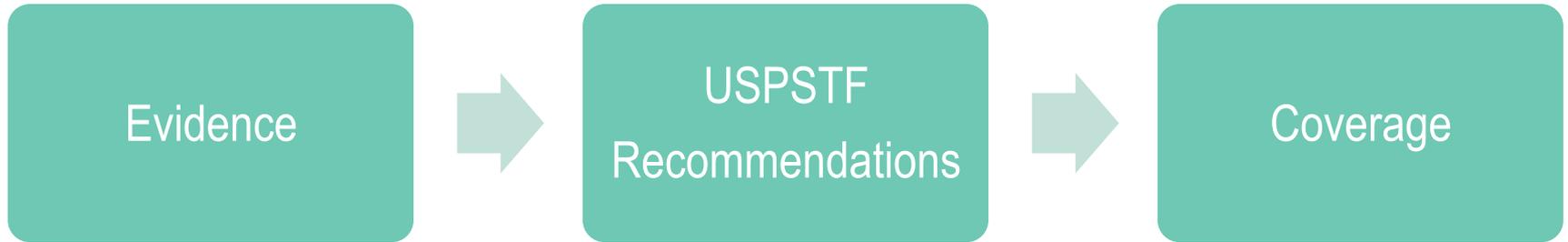


Thrumurthy et al. BMJ 2016;354:i3590.

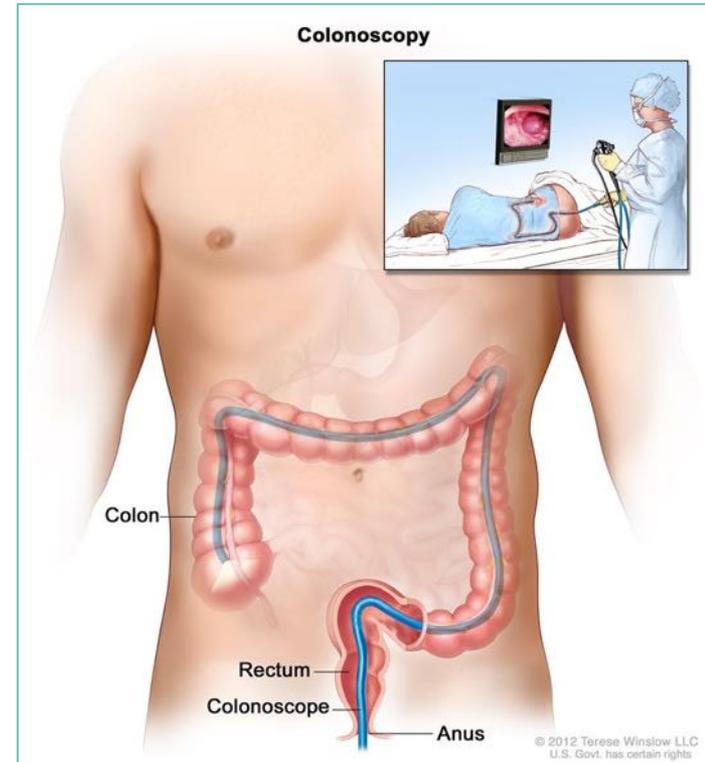
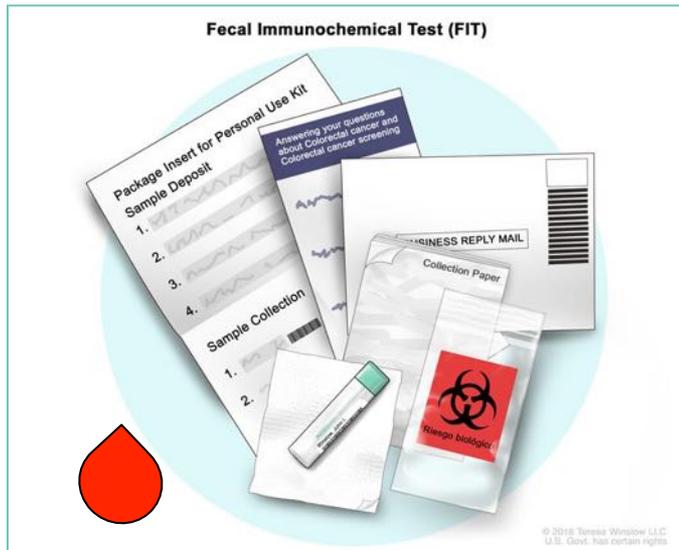
Role of health care data in CRC screening

- What we **should** do
 - Evidence for guidelines
- What we **are** doing
 - Identification of care gaps
- What we **can** do
 - Foundation for interventions

Example 1: Evidence for guidelines (What we *should* do)



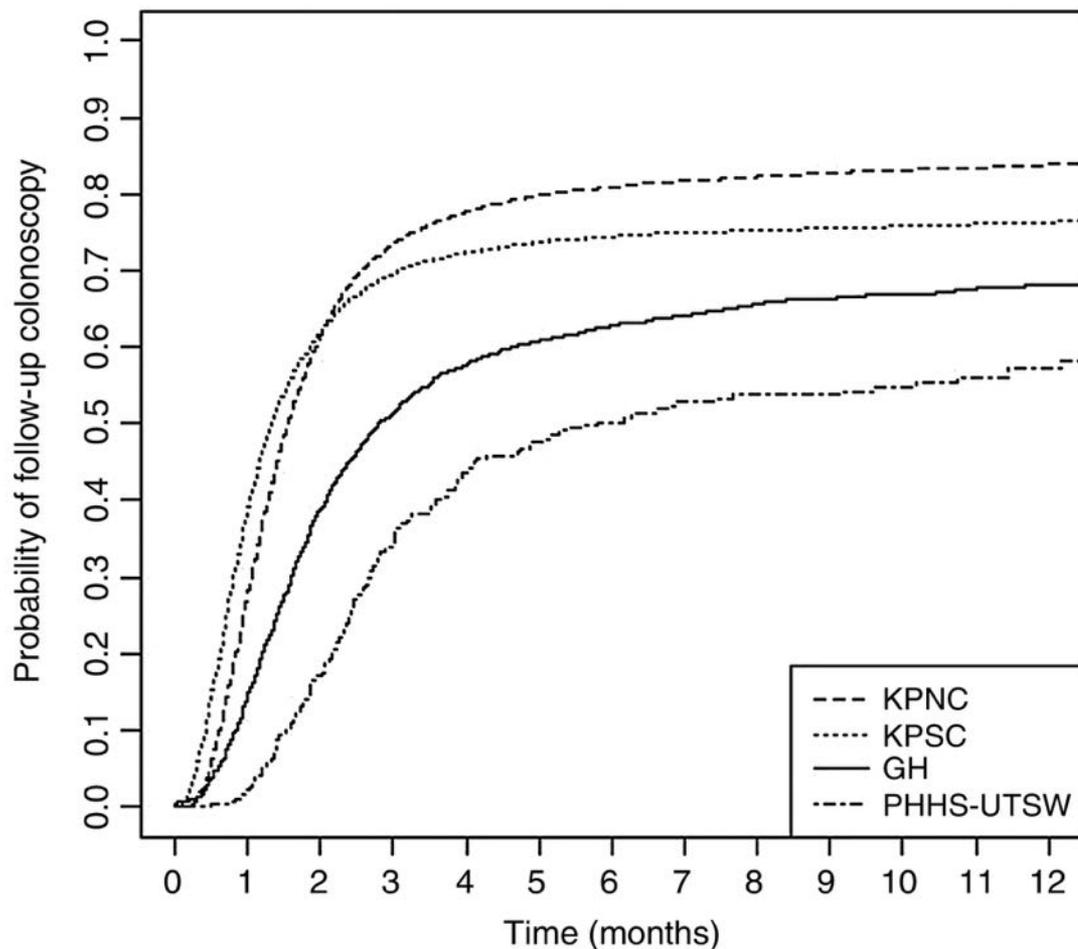
Example 2: Identification of care gaps (What we are doing)



Positive screening stool test

Diagnostic colonoscopy

Follow-up colonoscopy after positive stool test



Example 3: Platform for interventions (What we can do)

Annals of Internal Medicine	ORIGINAL RESEARCH
An Automated Intervention With Stepped Increases in Support to Increase Uptake of Colorectal Cancer Screening	
A Randomized Trial	
Beverly B. Green, MD, MPH; Ching-Yun Wang, PhD; Melissa L. Anderson, MS; Jessica Chubak, PhD, MBHL; Richard T. Meenan, PhD; Sally W. Vernon, PhD; and Sharon Fuller, BA	

1. Usual care

Usual care

2. Automated

Automated
Usual care

3. Assisted

Assisted
Automated
Usual care

4. Navigated

Navigated
Assisted
Automated
Usual care

Effect of intervention on CRC testing

	% Current for CRC testing over 2 years (95% CI)
Usual care	26.3 (23.4-29.2)
Automated	50.8 (47.3-54.4)
Assisted	57.5 (54.5-60.6)
Navigated	64.7 (62.5-67.0)

Part 2

PRACTICAL CONSIDERATIONS

Advantages of claims- and EHR-based research

- Mitigation of selection bias
- Generalizability
- Not subject to recall bias

Health care data

Wh



<https://www.mar>

<http://figareau.blogspot.com>

Data sources

Claims

- Procedure codes
- Diagnosis codes

Structured clinical data

- Forms
- Laboratory results

Unstructured clinical data

- Pathology report text
- Colonoscopy reports

Data needed for CRC screening research

- Test indication
- Tests results
- Cancer incidence
- Cancer mortality
- Cancer risk factors

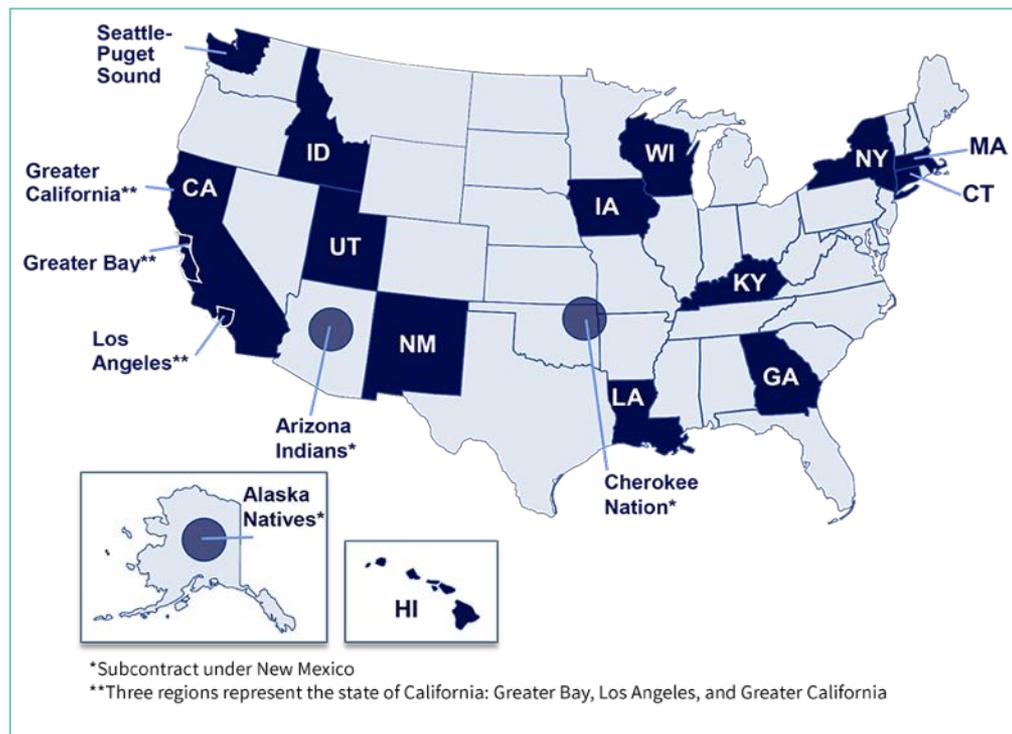
Challenges with using health care data

	Claims	Structure clinical	Text
Test indication	No	Sometimes	Yes
Tests results	No	Sometimes	Yes
Cancer incidence	Limited accuracy	No	Yes
Cancer mortality	No	No	Sometimes
Cancer risk factors	Sometimes	Sometimes	Sometimes

Opportunities for getting the necessary data

1. Link data sources
2. Develop and validated EHR-based algorithms
3. Find or create structured data
4. Use unstructured data (i.e., text)

1. Data linkages



<https://seer.cancer.gov/registries/>

Caveats with linkages

- Not everyone can be linked
- Requires sharing direct identifiers
- Linkages aren't always perfect.

2. EHR algorithms for cancer screening research

**Px / Dx codes,
lab results, etc.**

**Classification trees,
regression, etc.**

**Binary variables,
probabilities, etc.**

Example: colonoscopy indication

Has the patient had...		Exam indication:
In the last 10 years: inflammatory bowel disease diagnosis	Yes →	Diagnostic indication
	↓ No	
In the last 12 months: positive fecal test	Yes →	Diagnostic indication
	↓ No	
In the last 6 months: gastrointestinal symptoms (abdominal pain; iron-deficiency anemia; gastrointestinal bleeding or blood in stools; diarrhea or change in bowel habits; unexplained weight loss; diverticulitis; referral due to abnormal abdominal imaging [CT, MRI, or barium enema])	Yes →	Diagnostic indication
	↓ No	
In the last 6 months: initial CRC diagnosis from cancer registry or CRC diagnostic code from pathology	Yes →	Diagnostic indication
	↓ No	
In the last 12 months: most recent diagnostic code for adenoma from pathology	Yes →	Diagnostic indication
	↓ No	
In the last 12 months: sigmoidoscopy or colonoscopy with ICD-9 diagnosis of polyp (± 1 week of procedure)	Yes →	Diagnostic indication
	↓ No	
More than 6 months before: initial CRC diagnostic code from pathology or cancer registry	Yes →	Surveillance indication
	↓ No	
Only has ICD-9 diagnosis of CRC or history of CRC	Yes →	Surveillance indication
	↓ No	
More than 12 months before: most recent diagnostic code for adenoma from pathology	Yes →	Surveillance indication
	↓ No	
Only has prior ICD-9 diagnosis of colon adenoma or history of colon adenoma	Yes →	Surveillance indication
	↓ No	
Only has prior ICD-9 diagnosis of colon polyp or history of colon polyp	Yes →	Surveillance indication
	↓ No	
Family history of CRC	Yes →	Screening indication (high risk)
	No ↘	
	→	Screening indication (average risk)

Diagnostic

Surveillance

Screening

Caveats with EHR-based algorithms

- Misclassification
 - Missing data
 - Coding errors
- Window of data availability
- Different coding practices in different settings
- Switch from ICD-9 to ICD-10

Find or create structured data

- Leverage reporting requirements
 - Mammography Quality Standards Act
 - CMS-approved lung cancer screening registries
- Partner with care providers and delivery systems
 - Develop reporting systems

Example: Colonoscopy Reporting System

Figure 1: Parkland-UT Southwestern CoRS screen shot.

Colonoscopy Assessment

Details

Biopsy type?

Indication?

Colonoscopy complete to cecum?

Good or excellent bowel preparation?

Family history of colorectal cancer?

Findings

Polyp(s) or mass(es) found?

Number of polyps or masses?

Worst finding?

Normal biopsy
Hyperplastic polyp(s)
One to two tubular adenoma(s) less than 1cm in size
One or more sessile serrated adenoma(s) less than 1cm in size
1cm or larger adenoma
One or more sessile serrated adenoma(s) equal to or greater than 1cm in size
Three to ten tubular adenomas less than 1cm in size
Ten or more tubular adenomas
Colorectal cancer

Piecemeal resection?

Recommendation

Follow up procedure? The recommended follow up procedure is colonoscopy which has been auto-selected.

Follow up date? The recommended follow up date is in 3-6 months which has been auto-selected.

Assessment Complete

Procedure details

Findings

Recommendations

Use unstructured data



Chart abstraction

**Natural language
processing**

Example: NLP for high grade dysplasia



Algorithm

1. Look for key words (e.g., dysplasia, dysplastic)
2. Exclude if preceded by negation key words (e.g., no evidence)
3. Include if preceded by “high grade” key words

Caveats with text

- Not always available
- Expensive to work with
- Charting practices (and definitions) can be inconsistent

Part 3

SUMMARY AND RECOMMENDATIONS

Summary

- Healthcare data are critical for improving CRC screening
- Healthcare data offer many advantages
- Data are not always “research ready”

Recommendations

1. Leverage the advantages of health care data
2. Know your source data and its limitations
3. Consider different data collection approaches
4. Validate your approaches
5. Don't go it alone: find networks and consortia

Thank you

jessica.chubak@kp.org